

Form PTO-1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 41PR-7349/96-1165A		SERIAL NO. 09/431,737	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 cfr 1.98(b))				APPLICANT Don Whitehead et al.			
				FILING DATE November 1, 1999		GROUP 2121	
U.S. PATENT DOCUMENTS							
EXAMINE R INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TP		4,491,793	01/01/85	Germer et al.	324	157	09/21/83
TP		4,598,248	07/01/86	Germer	324	103	04/12/84
TP		4,620,150	10/28/86	Germer et al.	324	103	04/12/84
TP		4,754,217	06/28/88	Germer et al.	324	116	03/05/87
TP		5,317,546	05/31/94	Halch et al.	368	9	06/30/93
TP		5,696,501	12/09/97	Ouellette et al.	340	870.02	09/09/96
TP		5,764,155	06/09/98	Kertesz et al.	340	825.08	04/03/96
(TP) Duplicated		5,768,148	06/10/98	Murphy et al.	364	492	04/03/96
TP		5,861,742	01/19/99	Miller et al.	324	156	11/30/95
TP		5,862,391	01/19/99	Salas et al.	395	750.01	04/03/96
TP		5,933,092	08/03/99	Ouellette et al.	340	870.02	03/26/97
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUBLICATION DATE*	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**)							
EXAMINER <i>Thypham</i>				DATE CONSIDERED 11/10/04			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

(Form PTO-1449 (6-4))

* Use Publication date indicated on the patent or published application. 37 CFR 1.98(b).

** The place or publication refers to the name of the journal, magazine or other publication in which the article was published.... Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.

Best Available Copy

Page 2 of 3

Form PTO-1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 41PR-7349/96-1165A	SERIAL NO. 09/431,737
Information Disclosure Statement by Applicant (Use several sheets if necessary)				APPLICANT Don Whitehead et al.	
(37 cfr 1.98(h))				FILING DATE November 1, 1999	GROUP 2121
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**)					
		COMPLAINT AND JURY DEMAND; CV-02-0058R; Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 28 Pgs.; January 7, 2002.			
		ANSWER, AFFIRMATIVE DEFENSES, AND COUNTERCLAIMS OF GENERAL ELECTRIC COMPANY; JURY DEMAND; CV-02-0058R; Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 17 Pgs.; March 7, 2002.			
		STIPULATION; CV-02-0058R; Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 2 Pgs.; March 28, 2002.			
		FIRST AMENDED COMPLAINT AND JURY DEMAND; 3:02-CV-771 (AWT); Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 28 Pgs.; June 10, 2002.			
		GENERAL ELECTRIC'S ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS TO PLAINTIFFS' FIRST AMENDED COMPLAINT; 3:02-CV-771 (AWT); Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 18 Pgs.; July 3, 2002.			
		STIPULATION FOR DISMISSAL WITH PREJUDICE; 3:02-CV-771 (AWT); Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 5 Pgs.; August 12, 2002.			
		JUDGEMENT ON CONSENT; 3:02-CV-771 (AWT); Power Measurement, Inc. and Power Measurement Ltd. vs. General Electric Company; 5 Pgs.; August 12, 2002.			
		COMPLAINT; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 25 Pgs.; January 14, 2002.			
		ANSWER, AFFIRMATIVE DEFENSES AND COUNTERCLAIMS; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 30 Pgs.; April 2, 2002.			
		GENERAL ELECTRIC'S ANSWER AND AFFIRMATIVE DEFENSES TO DEFENDANTS' COUNTERCLAIMS; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 10 Pgs.; April 22, 2003.			
		JUDGEMENT ON CONSENT; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 4 Pgs.; August 7, 2002.			
		STIPULATION FOR DISMISSAL WITH PREJUDICE; 3:02-CV-78 (AWT); General Electric Company vs. Power Measurement, Inc. and Power Measurement Ltd.; 3 Pgs.; August 12, 2002.			
EXAMINER		<i>pham</i>		DATE CONSIDERED 11/10/04	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 809; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

(Form PTO-1449 (6-4))

* Use Publication date indicated on the patent or published application. 37 CFR 1.98(h).

** The place or publication refers to the name of the journal, magazine or other publication in which the article was published.... Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.

Form PTO-1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		AT TY. DOCKET NO. 41PR-7349/96-1165A		SERIAL NO. 09/431,737	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 cfr 1.98(b))				APPLICANT Don Whitehead et al.			
				FILING DATE November 1, 1999		GROUP 2121	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**)							
				"PEGASYS Preliminary User Interface, User's Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-73, October 1, 1994.			
				"PEGASYS Preliminary Installation and Configuration Guide", Version 1.0 BETA for Windows NT, Power Measurement Ltd., Pgs. 1-31, October 1, 1994.			
				"PEGASYS User's Guide", Version 1.1 for Windows NT, Power Measurement Ltd., March 31, 1995.			
				"PowerSys User's Guide", Version 1.00 for Windows, Power Measurement Ltd., Pgs. 1-41, January 31, 1995.			
				"PowerSys Add-In Module User's Guide", v. 1.00 for Microsoft Excel V5.0, Power Measurement Ltd., Pgs. 1-55, January 31, 1995.			
TP				Giles, David W. and Robert Lee, "M-SCADA Installation and Operation Manual", Version 3.0, Power Measurement Ltd., August 15, 1991.			
				"Power Measurement 3720 ACM Data Sheet", Power Measurement Ltd., Pgs. 1-11, May 31, 1994.			
				"Power Measurement 3720 ACM Installation and Operation Manual", Power Measurement Ltd., September 1, 1994.			
				"PEGASYS Vista User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998.			
				"PEGASYS VIP User's Guide", Version 2.0, Power Measurement Ltd., September 21, 1998.			
				"Modicon Ethernet to Modbus Plus Gateway User's Guide", GM-EMBP-001 Rev. A, MODICON, Inc., December 1991.			
				"EPE5 Preliminary Installation and Programming Manual", Niobrara Research and Development Corporation, June 9, 1994.			
				"EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, July 8, 1994.			
				"EPE5 Ethernet Port Expander", Niobrara Research and Development Corporation, March 23, 1994.			
				"EPE5 Installation and Programming Manual", Niobrara Research and Development Corporation, June 12, 1995.			
TP				"MEB Installation and Programming Manual", Niobrara Research and Development Corporation, November 27, 1995.			
EXAMINER				DATE CONSIDERED			
J. Pham				11/10/04			
* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

(Form PTO-1449 (6-4))

* Use Publication date indicated on the patent or published application. 37 CFR 1.98(b).

** The place or publication refers to the name of the journal, magazine or other publication in which the article was published.... Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.



Form PTO-1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 41PR-7349 (96-1165A)	SERIAL NO. 09/431,737
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 cfr 1.98(b))				APPLICANT WHITEHEAD et al.	
				FILING DATE November 1, 1999	
RECEIVED JUL 23 2003 Technology Center 2100					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**)					
			LETTER dated December 13, 2001 from Gustavo Siller to Charles O'Brien, with Attachment A.		
			FACSIMILE dated August 4, 1994 from Bradford Forth to Elise Woolfort.		
			FACSIMILE dated August 18, 1994 from Bradford Forth to John Bliven and Elise Woolfort.		
			FACSIMILE dated October 7, 1994 from Bradford Forth to Elise Woolfort		
			FACSIMILE dated October 28, 1994 from Bradford Forth to Joseph Krisciunas.		
			FACSIMILE dated November 3, 1994 from Bradford Forth to Elise Woolfort and Joseph Krisciunas.		
			FACSIMILE dated February 2, 1995 from Bradford Forth to Bo Andersen.		
			LETTER dated February 24, 1995 from Bradford Forth to Mr. Whitehead.		
			SALES ORDER NUMBER 6501 dated July 24, 2001.		
			FACSIMILE dated March 24, 1995 from Bradford Forth to Don Whitehead.		
			FACSIMILE dated April 12, 1995 from Bradford Forth to Don Whitehead.		
			MINUTES of Technical Meeting with GE dated May 15, 1995.		
			FACSIMILE dated June 2, 1995 from Bradford Forth to Joseph Krisciunas, with Draft Attachment.		
			MEMO dated June 22, 1995 from Jeff Mammen to Bradford Forth.		
			FACSIMILE/LETTER dated September 15, 1995 from Veronica Kertesz to Piotr Przydatek.		
			FACSIMILE dated September 19, 1995 from Bradford Forth to Veronica Curtze.		
			FACSIMILE dated October 20, 1995 from Bradford Forth to Jack Brady.		
			MINUTES of Meeting with Bo Anderson, G.E., dated February 1, 1996.		
			SALES ORDER NUMBER 6234 dated August 14, 2001.		
			SALES ORDER NUMBER 9883 dated August 14, 2001.		
			SALES ORDER NUMBER 9979 dated August 14, 2001.		
			SALES ORDER NUMBER 10331 dated August 14, 2001.		
			SALES ORDER NUMBER 8580 dated August 14, 2001.		
EXAMINER <i>Stephane</i>			DATE CONSIDERED 11/10/04		
*EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

(Form PTO-1449 (6-4))

* Use Publication date indicated on the patent or published application. 37 CFR 1.98(b).

** "The place or publication refers to the name of the journal, magazine or other publication in which the article was published...." Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.